

## TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/601787

INTERNATIONAL APPLICATION NO.

PCT/GB99/00470

INTERNATIONAL FILING DATE

16 February 1999

PRIORITY DATE CLAIMED

25 February 1998

TITLE OF INVENTION

A COMPONENT FOR GAS TREATMENT

APPLICANT(S) FOR DO/EO/US

INMAN, Michael; ANDREWS, Peter James; HALL, Stephen Ivor; MANSON-WHITTON, Christopher David John;  
SHAWCROSS, James Timothy; WEEKS, David Michael

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN - SEE 37 CFR

C9/601787

INTERNATIONAL APPLICATION NO.

PCT/GB99/00470

ATTORNEY'S DOCKET NUMBER

21. The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	14 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$78.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>

\$0.00

\$0.00

\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$840.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

**SUBTOTAL =**

\$840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

**TOTAL NATIONAL FEE =**

\$840.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

\$40.00

**TOTAL FEES ENCLOSED =**

\$880.00

Amount to be:	\$
refunded	
charged	\$

☒ A check in the amount of **\$880.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **082670** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

William H. Holt  
Law Offices of William H. Holt  
Unit 2, First Floor  
1423 Powhatan Street  
Alexandria, Virginia 22314

Telephone: 703-838-2700  
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*William H. Holt*  
SIGNATURE

William H. Holt

NAME

20766

REGISTRATION NUMBER

August 8, 2000

DATE

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="font-size: 1.5em; font-weight: bold;">09/601787</div>		INTERNATIONAL APPLICATION NO. PCT/GB99/00470		ATTORNEY'S DOCKET NUMBER	
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21. The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b>					
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....				\$970.00	
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....				\$840.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....				\$690.00	
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....				\$670.00	
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....				\$96.00	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$78.00	\$0.00	
Multiple Dependent Claims (check if applicable).				\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$840.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).				\$0.00	
<b>SUBTOTAL =</b>				\$840.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$840.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				\$40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$880.00	
				Amount to be: refunded	\$
				charged	\$

☒ A check in the amount of **\$880.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

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SEND ALL CORRESPONDENCE TO:

William H. Holt Law Offices of William H. Holt Unit 2, First Floor 1423 Powhatan Street Alexandria, Virginia 22314  Telephone: 703-838-2700 Facsimile: 703-838-2701	<div style="text-align: center;"> </div> SIGNATURE  William H. Holt NAME  20766 REGISTRATION NUMBER  August 8, 2000 DATE
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532 Rec'd PCT/77 08 AUG 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Michael INMAN ET AL

New U.S. National Stage Application  
of International Application No.  
PCT/GB99/00470

International Filing Date:  
16 February 1999

For: A COMPONENT FOR GAS TREATMENT

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Attention: DO/EO/US

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend as follows:

In the Claims:

Cancel all claims presently on file, and substitute there-  
for the following new claims:

10. A component for use in a gas treatment device, which  
component comprises dielectric material having apertures exten-  
ding therethrough in a direction of gas flow through the compo-  
nent when in use, the apertures having a re-entrant configur-  
ation in cross-section and being such that there is intercon-  
nection through dielectric material of those regions of dielec-  
tric material which define opposite sides of the narrowest part  
of the apertures as measured in at least one direction trans-  
verse to the said direction of gas flow, means being provided  
for applying an electric potential across at least part of the  
component in the said transverse direction, or one of the said  
transverse directions, whereby the voltage drop across the said

narrowest part of the apertures is greater than the voltage drop thereacross would be if the aperture were filled with the dielectric material.

11. A component as claimed in claim 10, wherein the said means for applying an electric potential comprise a pair of electrodes positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the said transverse direction, or one of the said transverse directions.

12. A component as claimed in claim 10, wherein the apertures are shaped to provide interconnection through dielectric material of those regions of dielectric material which define the opposite sides of the narrowest part of the apertures as measured in two mutually orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said transverse direction, and a second pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction.

13. A component as claimed in claim 10, wherein the said means for applying an electric potential comprise wires extending through the dielectric material.

14. A component as claimed in claim 10, wherein each aperture is shaped in cross-section to taper on both or all sides towards the said narrowest part of the aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into the tapered parts of the apertures.

15. A component as claimed in claim 10, wherein the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides.

16. A component as claimed in claim 10, wherein the dielectric material is a barium titanate containing material.

17. A reactor for reducing pollution from exhaust emissions from an internal combustion engine, comprising a reactor chamber adapted to form part of an internal combustion engine exhaust system, the reactor chamber including a component through which component exhaust gases are constrained to pass, and which component comprises dielectric material having aperture extending therethrough in a direction of gas flow through the component when in use, the apertures having a re-entrant configuration in cross-section and being such that there is interconnection through dielectric material of those regions of dielectric material which define opposite sides of the narrowest part of the apertures as measured in at least one direction transverse to the said direction of gas flow, means being provided for applying an electric potential across at least part of the component in the said transverse direction, or one of the said transverse directions, whereby the voltage drop across the said narrowest part of the apertures is greater than the voltage drop thereacross would be if the aperture were filled with the dielectric material.

18. A component as claimed in claim 17, wherein the said means for applying an electric potential comprise a pair of electrodes positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the said transverse direction, or one of the said transverse directions.

19. A component as claimed in claim 17, wherein the apertures are shaped to provide interconnection through dielectric material of those regions of dielectric material which define the opposite sides of the narrowest part of the apertures as measured in two mutually orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said transverse direction, and a second pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction.

20. A component as claimed in claim 17, wherein the said means for applying an electric potential comprise wires extending through the dielectric material.

21. A component as claimed in claim 17, wherein each aperture is shaped in cross-section to taper on both or all sides towards the said narrowest part of the aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into the tapered parts of the apertures.

22. A component as claimed in claim 17, wherein the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides.

23. A component as claimed in claim 17, wherein the dielectric material is a barium titanate containing material.

#### REMARKS

By this Preliminary Amendment, a new set of claims is presented for examination.

Favorable action is courteously solicited.

Respectfully submitted,



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August 8, 2000



A component for gas treatment

The present invention relates to a component for the treatment of gases, and in particular to a component for exposing gas to a non-thermal plasma, desirably in the presence of a catalyst. More specifically, the invention relates to a component incorporated in a reactor for the reduction of polluting components such as carbonaceous and nitrogenous combustion products emitted in the exhaust of internal combustion engines.

One of the major problems associated with the development and use of internal combustion engines is the noxious exhaust emissions from such engines. Two of the most deleterious materials, particularly in the case of diesel engines, are particulate matter (primarily carbon) and oxides of nitrogen ( $\text{NO}_x$ ). Increasingly severe emission control regulations are forcing internal combustion engine and vehicle manufacturers to find more efficient ways of removing these materials in particular from internal combustion engine exhaust emissions. Unfortunately, in practice, it is found that combustion modification techniques which improve the situation in relation to one of the above components of internal combustion engine exhaust emissions tend to worsen the situation in relation to the other. Even so, a variety of systems for trapping particulate emissions from internal combustion engine exhausts have been investigated, particularly in relation to making such particulate emission traps capable of being regenerated when they have become saturated with particulate material.

Examples of such diesel exhaust particulate filters are to be found in European patent application EP 0 010

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384; US patents 4,505,107; 4,485,622; 4,427,418; and  
4,276,066; EP 0 244 061; EP 0 112 634 and EP 0 132 166.

In all the above cases, the particulate matter is  
5 removed from diesel exhaust gases by a simple physical  
trapping of particulate matter in the interstices of a  
porous, usually ceramic, filter body, which is then  
regenerated by heating the filter body to a temperature  
at which the trapped diesel exhaust particulates are  
10 burnt off. In most cases the filter body is monolithic,  
although EP 0 010 384 does mention the use of ceramic  
beads, wire meshes or metal screens as well. US patent  
4,427,418 discloses the use of ceramic coated wire or  
ceramic fibres.

15

In a broader context, the precipitation of charged  
particulate matter by electrostatic forces also is known.  
However, in this case, precipitation usually takes place  
upon large planar electrodes or metal screens.

20

GB patent 2,274,412 discloses a method and apparatus  
for removing particulate and other pollutants from  
internal combustion engine exhaust gases, in which the  
exhaust gases are passed through a bed of charged pellets  
25 of material, preferably ferroelectric, having high  
dielectric constant. In addition to removing  
particulates by oxidation, especially electric discharge  
assisted oxidation, there is disclosed the reduction of  
NO<sub>x</sub> gases to nitrogen, by the use of pellets adapted to  
30 catalyse the NO<sub>x</sub> reduction.

The use of a reactor comprising a bed of beads of  
spherical, pellet, chip or other suitable form presents a  
problem, particularly for motor vehicles, in that the  
35 beads tend to wear or break up under the attrition to

- 3 -

which they are subjected in use. Whilst monoliths of foam or honeycomb configuration can be adopted, we have found satisfactory formation of a non-thermal plasma is difficult to achieve with known forms of these configurations.

It is an object of the present invention to provide a gas permeable component of dielectric material, which may be, or may be combined with, a catalytic material, for the treatment of gas, in a form which can be extruded or otherwise formed into a monolithic structure, and which will effectively support the formation of a non-thermal plasma when subjected to an electric potential.

The invention provides, in one of its aspects, a component for use in a gas treatment device, which component comprises dielectric material having apertures extending therethrough in a direction of gas flow through the component when in use, the apertures having a re-entrant configuration in cross-section and being such that there is interconnection through dielectric material of those regions of dielectric material which define opposite sides of the narrowest part of the apertures as measured in at least one direction transverse to the said direction of gas flow, and means for applying an electric potential across the component in the said transverse direction, or one of the said transverse directions, whereby the voltage drop across the said narrowest part of the apertures is greater than the voltage drop thereacross would be if the aperture were filled with the dielectric material.

For the purpose of providing the electric potential, a pair of electrodes is positioned one on one side and the other on the other side of the component so as to be

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spaced apart from one another in the said transverse direction, or one of the said transverse directions.

In one arrangement according to the invention, the  
5 apertures are shaped to provide interconnection through dielectric material of those regions of dielectric material which define opposite sides of the narrowest part of the apertures as measured in two mutually  
10 orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said transverse direction, and a second pair of electrodes is  
15 positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction.

In an alternative arrangement embodying the invention, the said means for applying an electric  
20 potential comprise wires (41,42) extending through the dielectric material (13,13a;16,17;16a,17a).

The apertures are such as to promote formation of a non-thermal plasma therein when the component is in use  
25 and an electric potential is applied. For this purpose, for example, each aperture is shaped in cross-section to taper on both or all sides towards the said narrowest part of the aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into  
30 the tapered parts of the apertures.

Preferably the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides.

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- 5 -

Preferably the dielectric material is a barium titanate containing material.

The invention provides, in another of its aspects, a  
5 reactor for reducing pollution from exhaust emissions  
from an internal combustion engine, comprising a reactor  
chamber adapted to form part of an internal combustion  
engine exhaust system, the reactor chamber including a  
component as aforesaid, through which component exhaust  
10 gases are constrained to pass.

Specific constructions of component and reactor  
embodying the invention will now be described by way of  
example and with reference to the drawings filed  
15 herewith, in which:

Figure 1 is a perspective view of part of a  
component,

20 Figure 2 is a perspective view of part of another  
component,

Figures 3, and 4, are diagrammatic representations  
of alternative cross-sectional shapes for the component,  
25

Figure 5 is a diagrammatical representation of a  
reactor incorporating a component according to the  
invention,

30 Figure 6 shows a modification of the component of  
Figure 2, and

Figures 7 to 9 show further variants of the  
modification shown in Figure 6.  
35

- 6 -

Figure 1 shows a component 10 which can be formed by extrusion from a dielectric material 11. Our preferred material is one containing barium titanate which, for extrusion purposes, may be fabricated from a barium titanate powder together with a binder of for example alumina, silica or titania or a combination of these, for example a combination of silica and titania.

As may be seen from Figure 1, the extrusion has the form of a series of parallel plates 12 between which, and integral with which, is a matrix of rods 13 of generally rhombus shape in cross-section extending parallel with one another between the plates 12 along the length of the component 10.

The series of rods 13 between each pair of plates 12 provides a corresponding series of apertures 14 extending along the length of the component 10 and having a shape in cross-section like that of a stylised bow tie.

Electrodes (not shown) positioned on the respective two sides of the component 10 perpendicular to the plane of the plates 12 enable an electric potential to be applied to the component in a direction parallel with the plates 12 and transverse to the length of the apertures 14.

The re-entrant shape of the apertures 14 in cross-section has the effect that voltage drop derived from the charged dielectric material is concentrated in the region where the space between one rod 13 and its adjacent rod is narrowest. This configuration has the effect of promoting the formation of non-thermal plasma in these narrow spaces. However, it is a feature of plasma formed in this way that it tends to expand and travel along outwardly tapering spaces which communicate with the

- 7 -

narrow space where plasma tends to be initiated. The shape of the apertures 14 is thus conducive to the formation of plasma which fills the entire void space of the component 10.

5

A feature of the configuration shown in Figure 1 is that the plates 12 provide a continuous dielectric path between the electrodes and between each such path there is a series of discharge gaps provided by the narrowest part of the apertures 14, the discharge gaps being aligned to be parallel with the electric field set up between the electrodes.

Figure 2 illustrates another configuration in which component 15 can be formed by extrusion. Again, the configuration is based upon an array of generally rhombus shaped rods 16,17. Alternate rows and columns of the rods 16, 17 are joined at their apices by cruciform regions 18. The intervening rows and columns provide a series of discharge gaps 19. The rods 16, 17 are so shaped that the narrow spaces between adjacent rods which communicate with the discharge gaps 19 are tapered, with the narrowest region of the taper at the gaps 19. As explained above, this promotes the transfer of plasma formed in the discharge gaps 19 along the tapered spaces. It will be seen that adjacent each aligned row of discharge gaps 19 is an aligned row of interconnected rods 16. Similarly, adjacent to each aligned column of discharge gaps 19 is an aligned column of interconnected rods 17.

Electrodes (not shown) covering respectively the sides 21 and 22 of the component 15 enable an electrical potential to be applied across the component 15. By virtue of the juxtaposition of interconnected rods 16 in one row and cross connected rods 17 with intervening

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discharge gaps 19 in the adjacent row, application of an appropriate electrical potential in this way leads to the generation of plasma discharge in the gaps 19 and expansion of the plasma into the tapering spaces to fill  
5 the entire void structure of the component 15.

It will be apparent from the symmetry of the component shown in Figure 2, that electrodes (not shown) may be positioned to cover the sides 23 and 24  
10 respectively so as to enable an electrical potential to be applied parallel with the columns of rods 16, 17. Provided there is an appropriate insulating gap between electrodes on the adjacent sides which may at any instant be at a different potential, electrical excitation may be  
15 applied to all four sides.

It will be appreciated that controlled arrays of discharge gaps between regions of dielectric material interconnected to concentrate potential drop across the  
20 discharge gaps can be achieved with a variety of different configurations of extruded matrix. Figure 3 shows a variant of the Figure 2 configuration, similar components bearing the same reference numerals distinguished by the suffix "a". Figure 4 shows a  
25 variant of the Figure 1 configuration, based upon cylindrical rods. The reference numerals used in Figure 4 correspond with those of Figure 1, distinguished by the suffix "a".

30 Figure 5 shows diagrammatically in cross-section a component 25 comprising an extruded monolith having a configuration (chosen as discussed further below) as shown in one of the Figures 1 to 4 and incorporated in a plasma reactor for the purification of internal  
35 combustion engine exhaust emissions.



- 9 -

The component 25 is mounted within a cylindrical stainless steel chamber 26 which is arranged to be connected to an earthing point at 27 and which has an inlet nozzle 28 by means of which it can be connected to the exhaust system of an internal combustion engine, and a similar outlet nozzle 29. Exhaust gas flows as indicated by the arrows A axially through the apertures of the component 25.

Because of the cylindrical geometry, it is necessary for the extruded monolith component 25 to have a symmetrical configuration such as that of Figure 2 cut or shaped into a cylinder with an axial bore 31. The axial bore 31 is closed at each end to ensure all gas flow is through the apertures of the monolith component 25. An outer electrode is provided either by the chamber 26 itself or by a cylindrical metallic sheath on the component 25 and in electrical contact with the chamber 26. An inner electrode 32 is provided in the form of a cylindrical lining for the bore 31. The electrode 32 is connected via a high tension lead-through 10 to a source 9 of electrical potential sufficient to excite a plasma in the exhaust gases in the void spaces within the component 25. A convenient potential for this purpose is a potential of about 10 kV to 30 kV, which may be a pulsed direct potential or a continuously varying alternating potential, or may be an interrupted continuous direct potential. Typically we employ a potential of 20 kV per 30 mm of bed depth.

30

In a modified arrangement, in which any of the configurations of Figure 1,2,3,or 4 may be employed, the chamber 26 and component 25 are rectangular in cross section. For this configuration, it is not necessary to have a central bore corresponding to the bore 31. Electrodes may be positioned on opposed sides of the

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- 10 -

component 25, but in this case it is necessary to provide electrical insulation between the high voltage electrode and the chamber 26. For this reason, it may be preferable to provide the high voltage electrode as a sheet positioned centrally within the component 25.

The material of the component 25 is chosen in order to meet the requirements of formation by extrusion, and the dielectric and catalytic properties for the purpose of reducing pollutants from internal combustion engine exhaust gases. The material is preferably a ferroelectric material such as barium titanate or calcium titanate which, for extrusion, will need to be prepared in powder form into which a binder material is incorporated. Gamma alumina, silica or titania or a combination of these may serve as a binder, although a preferred binder is a combination of silica and titania which may be derived from a silica-titania gel. The ferroelectric material may be mixed with a dielectric material such as zirconia or titania, or zeolite for example metal exchanged zeolite or proton exchanged zeolite for example Cu-exchanged ZSM-5 or H-exchanged ZSM-5 or, for some applications, it may be appropriate to use dielectric material alone or mixtures of dielectric materials. Where titania is used, it is advantageous to use the anatase phase in order to benefit from the photocatalytic properties of the material in this form. Other materials such as alumina or a perovskite may be incorporated for the catalytic properties which they impart to the product matrix.

Figure 6 shows a modification of the component of Figure 2 in which a number of the rods 16 are formed with an electrically conducting wire extending through the centre of the rod as indicated diagrammatically on the Figure at 41,42.

- 11 -

With such an arrangement it is possible to apply an electric field across the gaps 19 in a more individually controlled manner. Referring to Figure 6 the wires  
5 represented at 41 marked with a cross are connected to one pole of the voltage power supply (for example the positive pole) whilst those wires represented at 42 with a central dot are connected to the other pole (for example the negative pole). With such a configuration,  
10 the electric field established across the gaps 19 will be in a direction substantially parallel with the sides 21 and 22 of component.

It will be appreciated that a variety of different  
15 configurations of the positive and negative connections are possible. Figure 7 shows a variant equivalent to that of Figure 6 turned through 90 degrees, i.e. so that the electric field in the gaps 19 extends in a direction substantially parallel with the sides 23 and 24 of the  
20 component. Figure 8 shows a variant in which the electric field in the gaps 19 will have components both parallel to the sides 23, 24 and to the sides 21, 22. Figure 9 shows the variant in which the electric field in the gaps 19 will extend in a diagonal direction.

25

Connection of such a multiplicity of electrodes to the power supply is evidently less straightforward than the use of simple plate electrodes positioned on opposite sides of the component. However, connection may be made  
30 via a suitably shaped electrically conducting grids providing connection at one end of the component to the

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wires 41 which are to be positive and at the other end of the component to the wires 42 which are to be negative.

The voltage to be applied across the wires in these  
5 examples will be significantly less than that required  
across electrode plates which are spaced apart by the  
entire width of the component. A typical voltage  
required is likely to be of the order of 3 kV or 4 kV,  
but the value required would have to be determined in the  
10 light of operational experience to be such as to avoid  
failure due to operating beyond the dielectric breakdown  
voltage of the material whilst being sufficient to create  
the desired non-thermal plasma in the gaps 19.

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Claims

1. A component for use in a gas treatment device, which component comprises dielectric material (12,13; 12a,13a; 5 16,17; 16a,17a) having apertures(14; 14a;19; 19a) extending therethrough in a direction of gas flow through the component when in use, characterised by the combination of features that the apertures(14; 14a; 19; 19a) have a re-entrant configuration in cross-section and 10 are such that there is interconnection through dielectric material of those regions of dielectric material which define opposite sides of the narrowest part of the apertures(14; 14a; 19; 19a) as measured in at least one direction transverse to the said direction of gas flow, 15 and means is provided for applying an electric potential across at least part of the component in the said transverse direction, or one of the said transverse directions, whereby the voltage drop across the said narrowest part of the apertures (14; 14a; 19; 19a) is 20 greater than the voltage drop thereacross would be if the aperture were filled with the dielectric material.
2. A component as claimed in claim 1, further characterised in that the said means for applying an 25 electric potential comprise a pair of electrodes positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the said transverse direction, or one of the said transverse directions.
- 30
3. A component as claimed in claim 1, further characterised in that the apertures (14; 14a; 19; 19a) are shaped to provide interconnection through dielectric material of those regions of dielectric material which 35 define the opposite sides of the narrowest part of the apertures(14;14a;19;19a) as measured in two mutually

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orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said  
5 transverse direction, and a second pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction.

10 4. A component as claimed in claim 1, further characterised in that the said means for applying an electric potential comprise wires (41,42) extending through the dielectric material (13,13a; 16,17; 16a,17a).

15 5. A component as claimed in any of the preceding claims, further characterised in that the said apertures (14; 14a; 19; 19a) are such as to promote formation of a non-thermal plasma therein when the component is in use and an electric potential is applied.

20 6. A component as claimed in any of the preceding claims, further characterised in that each aperture (14; 14a; 19; 19a) is shaped in cross-section to taper on both or all sides towards the said narrowest part of the  
25 aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into the tapered parts of the apertures.

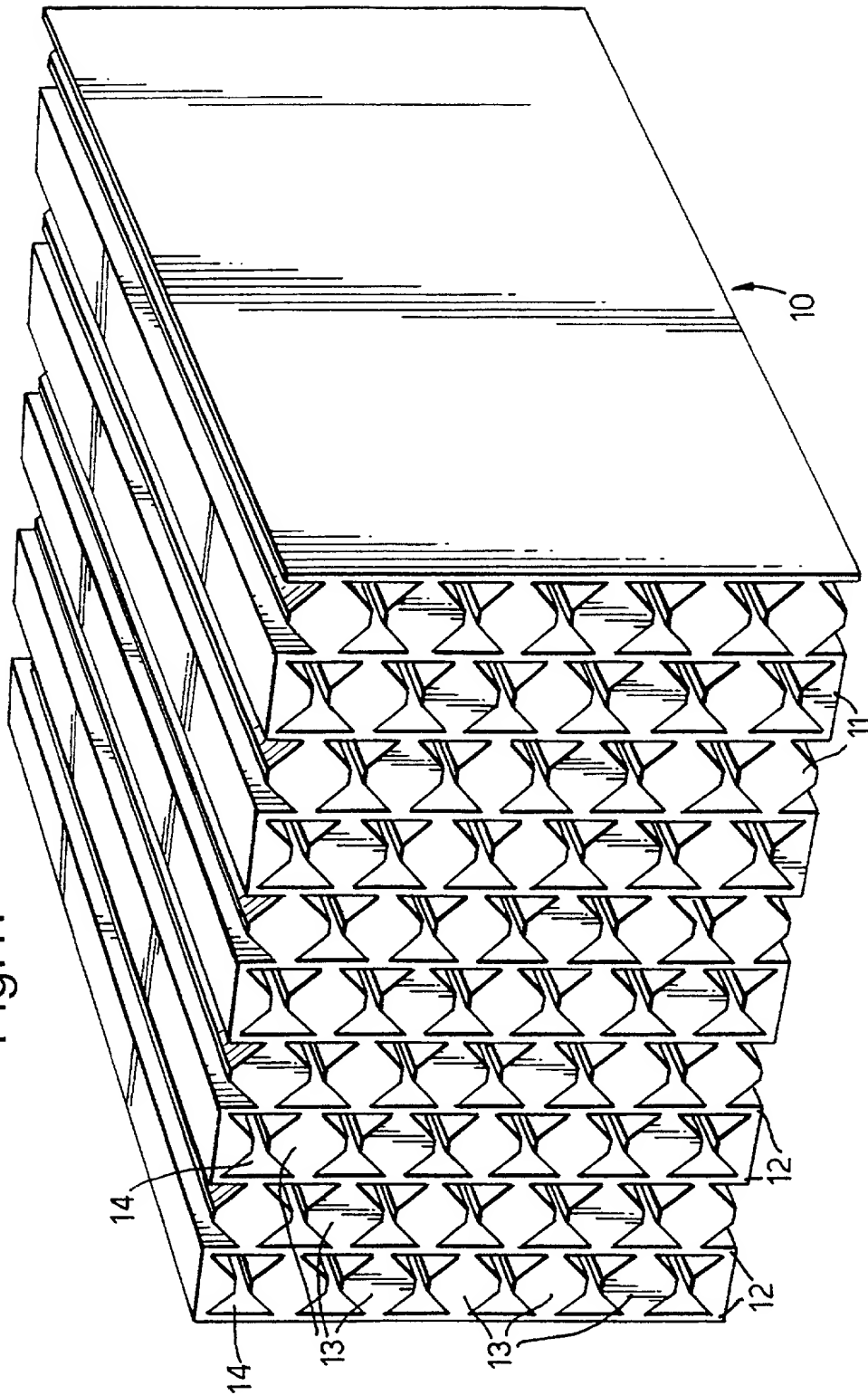
30 7. A component as claimed in any of the preceding claims, further characterised in that the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides.

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8. A component as claimed in any of the preceding claims, further characterised in that the dielectric material is a barium titanate containing material.

- 5 9. A reactor for reducing pollution from exhaust emissions from an internal combustion engine, comprising a reactor chamber (26) adapted to form part of an internal combustion engine exhaust system, characterised in that the reactor chamber (26) includes a component
- 10 (25) as claimed in any of the preceding claims, through which component (25) exhaust gases are constrained to pass.

Fig.1.





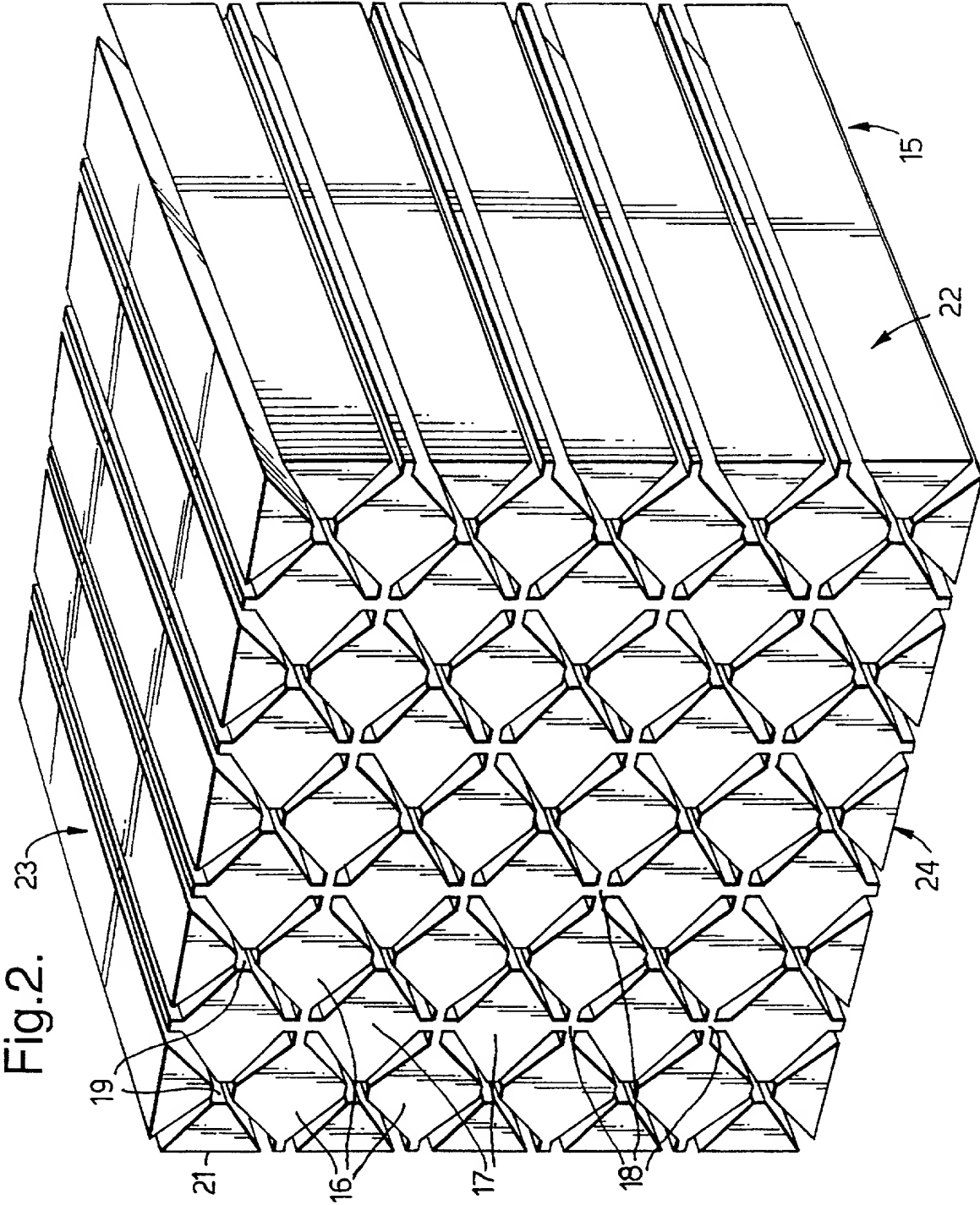


Fig.3.

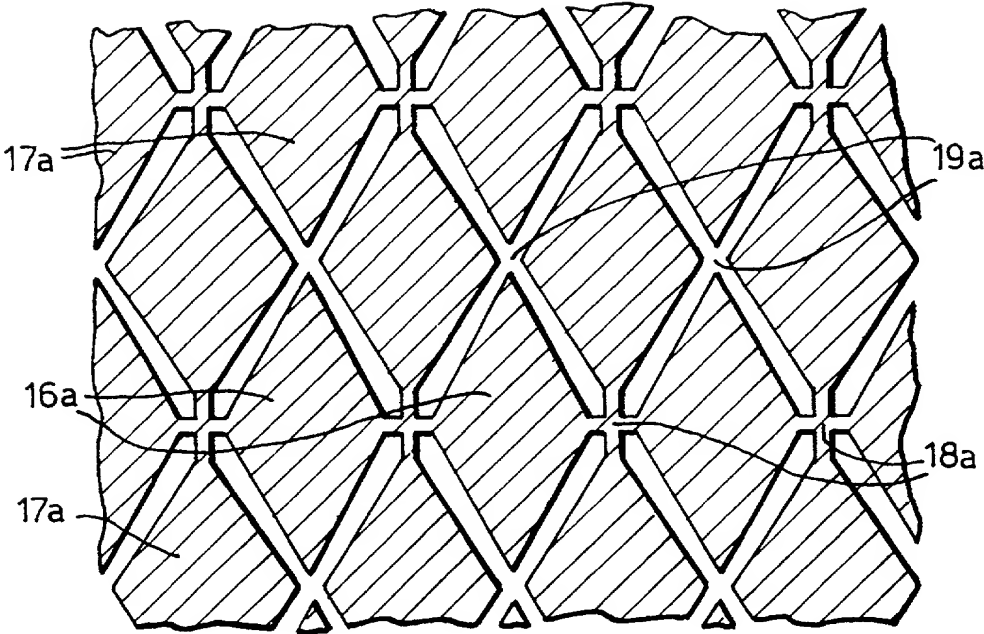


Fig.4.

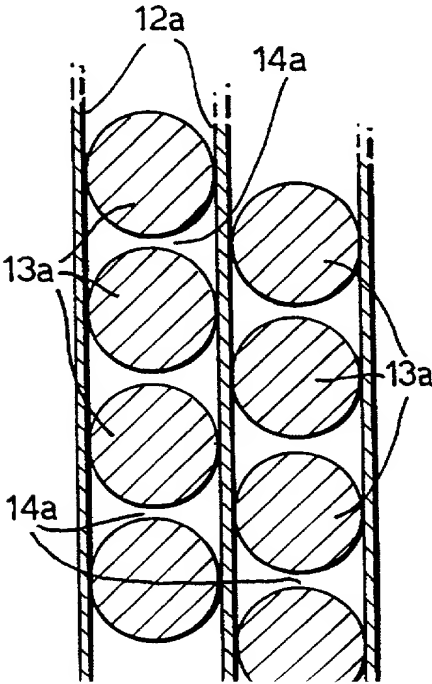
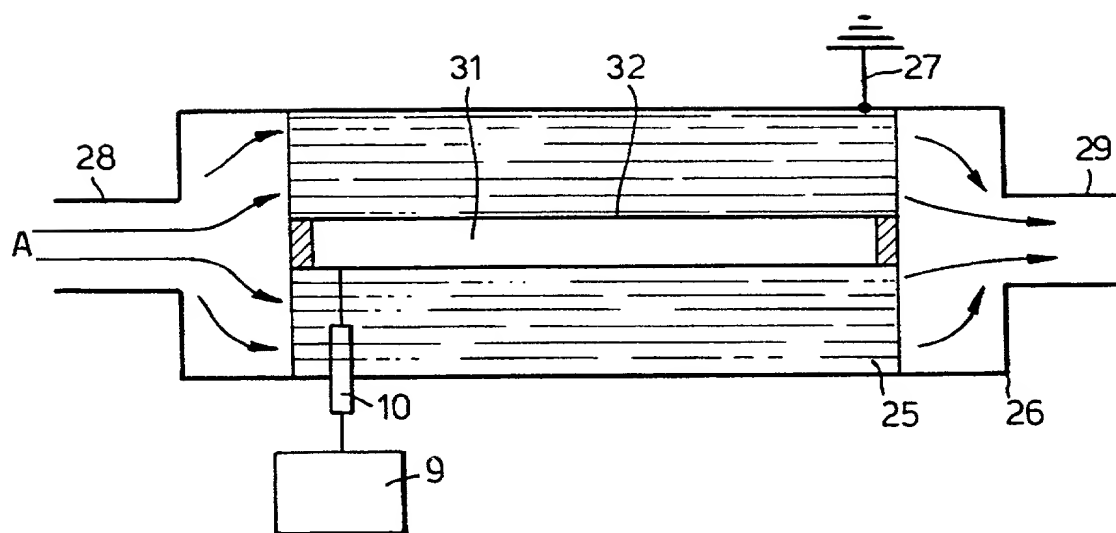
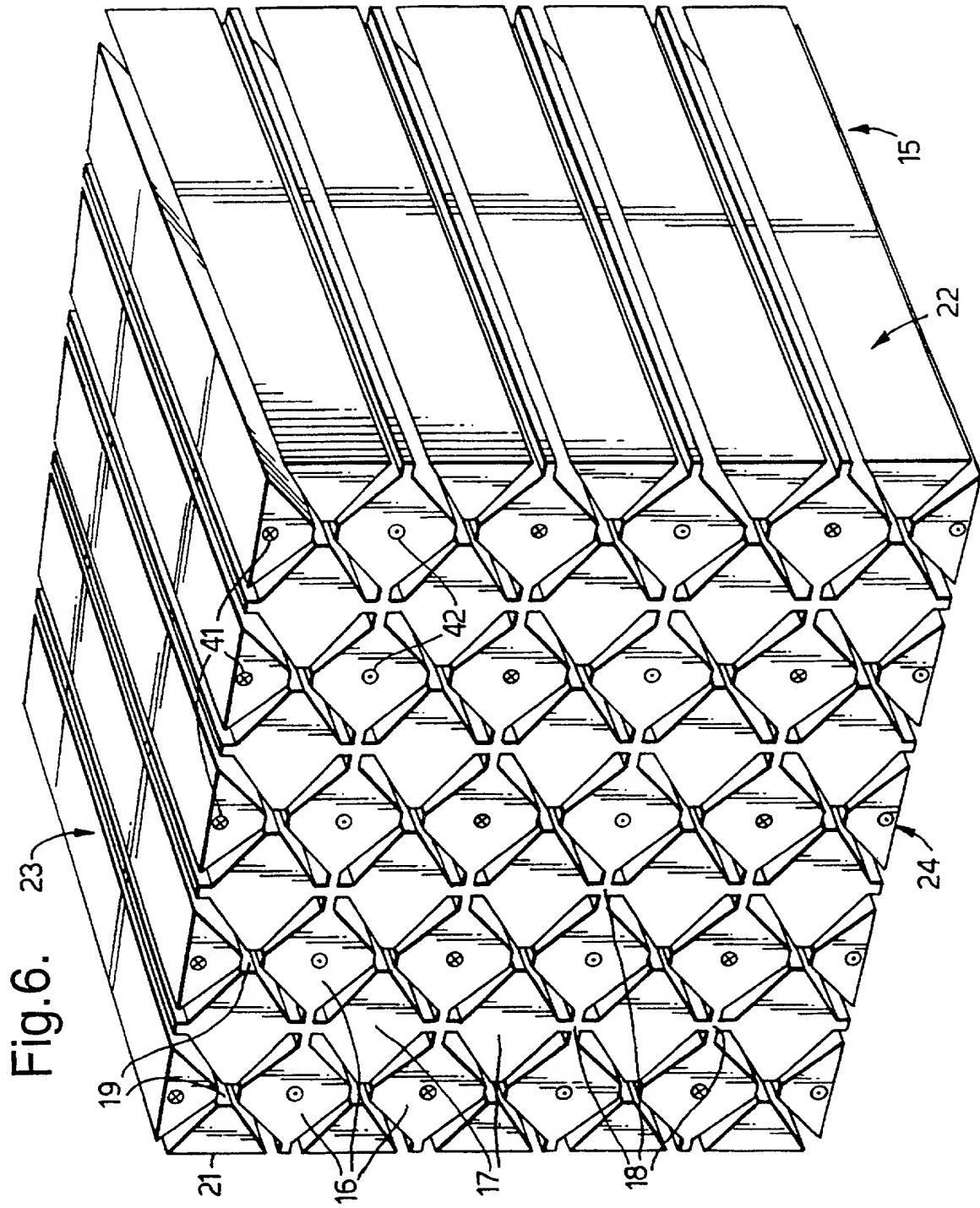
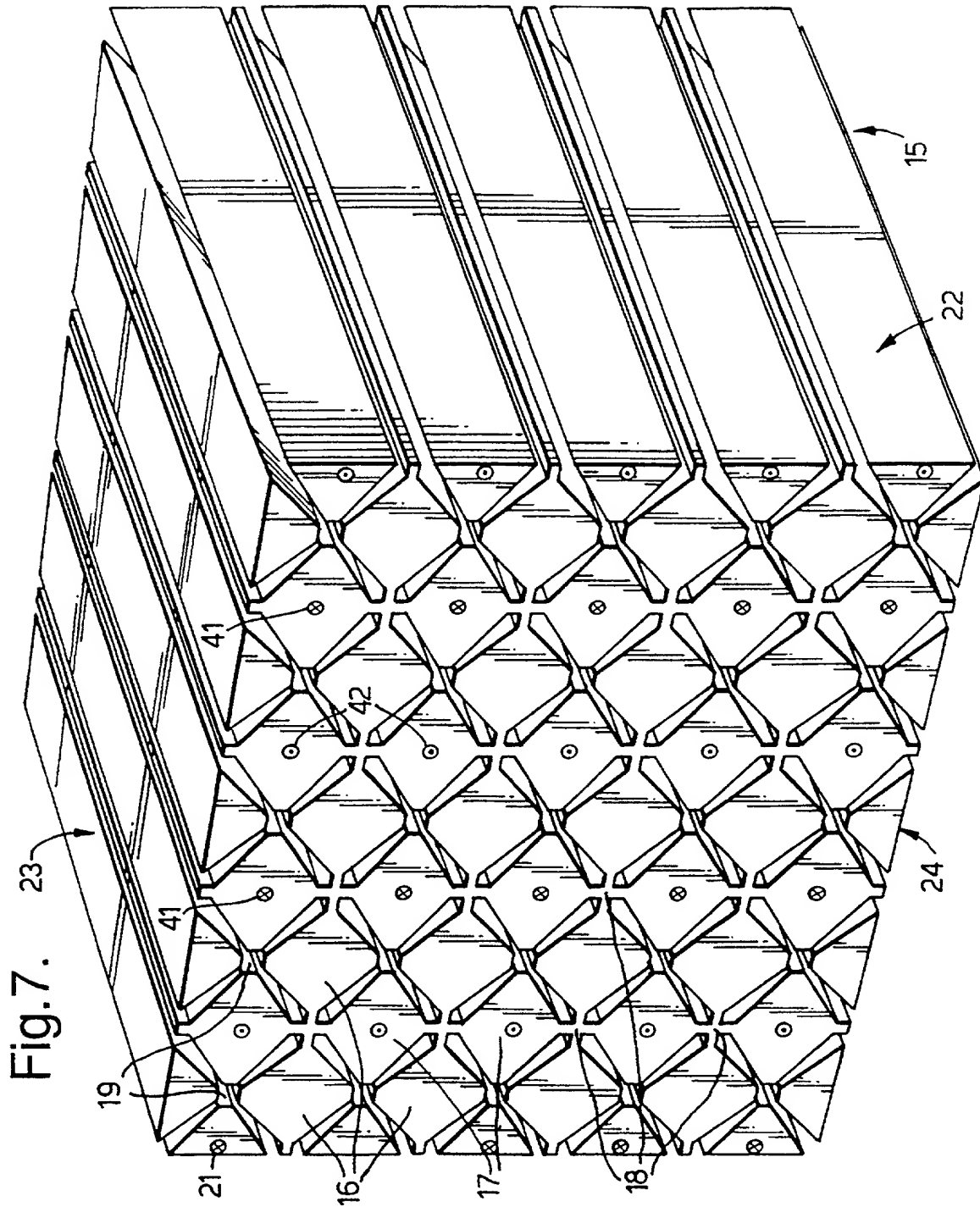
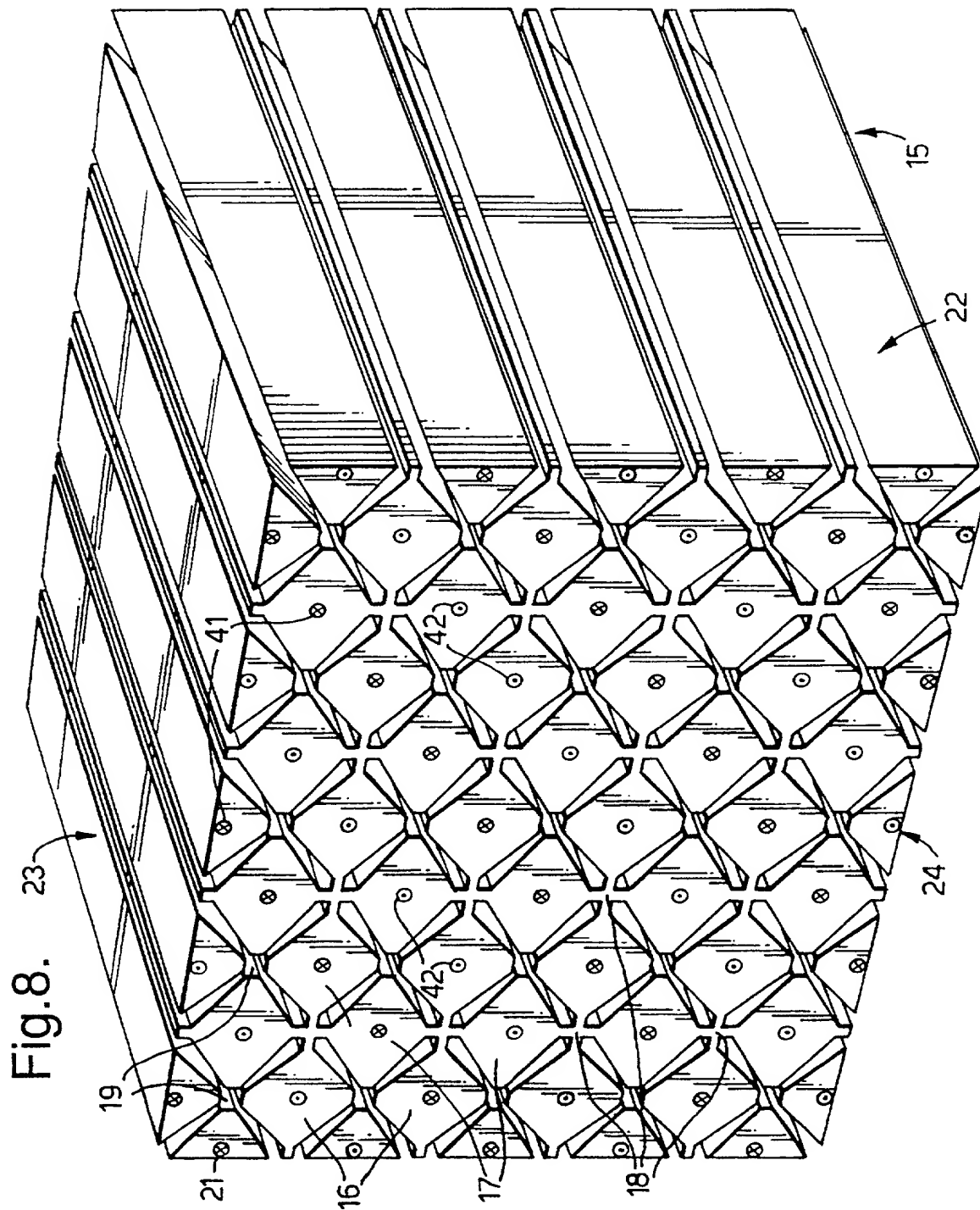


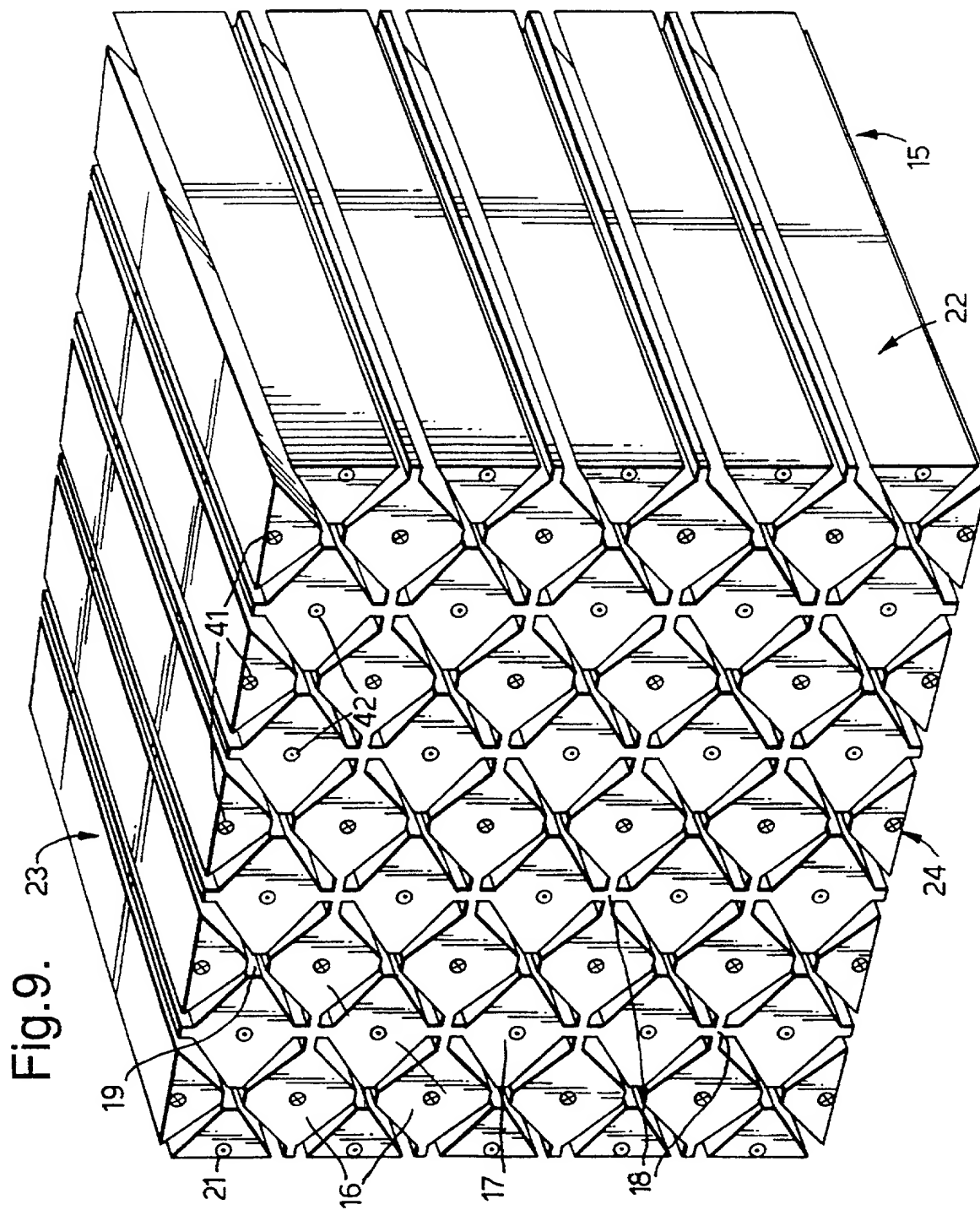
Fig.5.











**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (USA)**  
(INCLUDING DESIGN PATENT APPLICATIONS)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled A component for gas treatment

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on 16 February 1999 as United States Application Number or PCT International Application No. PCT/GB99/00470 and was amended on 10 December 1999 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>08 03817.7</u>	<u>United Kingdom</u>	<u>25 February 1998</u>	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
<u>                    </u>	<u>                    </u>	<u>                    </u>	Priority Claimed <input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	

☐ Additional applications identified on attached sheet.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>                    </u>	<u>                    </u>	<u>                    </u>
(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)
<u>                    </u>	<u>                    </u>	<u>                    </u>
(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)

☐ Additional applications identified on attached sheet.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

William H. Holt, Reg. No. 20766; D. Peter Hochberg, Reg. No. 24603; Ronald E. Greigg, Reg. No. 31517; Howard M. Ellis, Reg. No. 25856

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of Sole or First Inventor <u>Michael INMAN</u>	Inventor's Signature <u>Michael Inman</u>	Date <u>18 July 2000</u>
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Full name of Second Joint Inventor <u>Peter James ANDREWS</u>	Inventor's Signature <u>Peter James Andrews</u>	Date <u>24th July 2000</u>
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**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (USA)**  
(INCLUDING DESIGN PATENT APPLICATIONS)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled A component for gas treatment

the specification of which is attached hereto, unless the following box is checked:

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<u></u>	<u></u>	<u></u>	Priority Claimed <input type="checkbox"/> Yes <input type="checkbox"/> No
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<u></u>	<u></u>	<u></u>
(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)
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Full name of Sole or First Inventor <u>Christopher David John Manson-Whitton</u>	Inventor's Signature <u>[Signature]</u>	Date <u>22 JULY 2000</u>
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Full name of Third Joint Inventor <u>David Michael WEEKS</u>	Inventor's Signature <u>[Signature]</u>	Date <u>18 July 2000</u>
Residence <u>Abingdon, Oxfordshire, United Kingdom</u>	<u>GBV</u>	Citizenship <u>British</u>
Post Office Address <u>c/o AEA Technology plc, Patents Dept, 329 Harwell, Didcot, Oxfordshire, OX11 0QJ, United Kingdom</u>		

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